

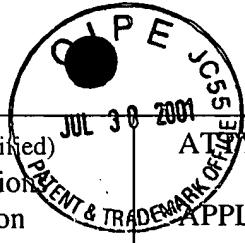
Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)			ATTY. DKT. NO. 5181-70100 APPLICANT: Slaughter et al FILING DATE: October 19, 2000		SERIAL NO. 09/693,682 GROUP: 2152		
U.S. PATENT DOCUMENTS							
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
DAC	A1	5,455,952	10/3/95	Gjovaag			
	A2	5,386,568	1/31/95	Wold, et al.			
	A3	5,423,042	6/6/95	Jalili, et al.			
	A4	5,481,721	1/2/96	Serlet, et al.			
	A5	5,557,798	9/17/96	Skeen, et al.			RECEIVED
	A6	5,944,793	8/31/99	Islam, et al.			JUL 31 2001
	A7	5,946,485	8/31/99	Weeren, et al.			Technology Center 2100
	A8	5,946,694	8/31/99	Copeland, et al.			
	A9	4,491,946	1/1/85	Kryshow, Jr., et al.			
	A10	4,713,806	12/15/87	Oberlander, et al.			
	A11	4,809,160	2/28/89	Mahon, et al.			
	A12	4,939,638	7/3/90	Stephenson, et al.			
	A13	5,088,036	2/11/92	Ellis, et al.			
	A14	4,823,122	4/18/89	Mann, et al.			
	A15	4,956,773	9/11/90	Saito, et al.			
	A16	5109,486	4/28/92	Seymour			
	A17	5,187,787	2/16/93	Skeen, et al.			
	A18	5,218,699	6/8/93	Brandle, et al.			
	A19	5,257,369	10/26/93	Skeen, et al.			
	A20	5,293,614	3/8/94	Ferguson, et al.			
	A21	5,297,283	3/22/94	Kelly, Jr., et al.			
	A22	5,307,490	4/26/94	Davidson, et al.			
	A23	5,311,591	5/10/94	Fischer			
	A24	5,339,435	8/16/94	Lubkin, et al.			
✓	A25	5,390,328	2/14/95	Frey, et al.			
	A26	5,440,744	8/8/95	Jacobson, et al.			

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FILING DATE: October 19, 2000			

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
DLL	A27	5,448,740	9/5/95	Kiri, et al.			
	A28	5,452,459	9/19/95	Drury, et al.			
	A29	5,471,629	11/28/95	Risch			
	A30	5,475,792	12/12/95	Stanford, et al.			
	A31	5,475,817	12/12/95	Waldo, et al.			
	A32	5,504,921	4/2/96	Dev, et al.			
	A33	5,511,197	4/23/96	Hill, et al.			
	A34	5,524,244	6/4/96	Robinson, et al.			
	A35	5,553,282	9/3/96	Parrish, et al.			
	A36	5,555,367	9/10/96	Premerlani, et al.			
	A37	5,555,427	9/10/96	Aoe, et al.			
	A38	5,560,003	9/24/96	Nilsen, et al.			
	A39	5,561,785	10/1/96	Blandy, et al.			
	A40	5,577,231	11/19/96	Scalzi, et al.			
	A41	5,594,921	1/14/97	Pettus			
	A42	5,603,031	2/11/97	White, et al.			
	A43	5,680,573	10/21/97	Rubin, et al.			
	A44	5,680,617	10/21/97	Gough, et al.			
	A45	5,684,955	11/4/97	Meyer, et al.			
	A46	5,689,709	11/18/97	Corbett, et al.			
	A47	5,706,435	1/6/98	Barbara, et al.			
	A48	5,706,502	1/6/98	Foley, et al.			
	A49	5,724,588	3/3/98	Hill, et al.			
	A50	5,727,145	3/10/98	Nessett, et al.			
	A51	5,617,537	4/1/97	Yamada, et al.			
	A52	5,628,005	5/6/97	Hurvig			
✓	A53	5,640,564	6/17/97	Hamilton, et al.			

EXAMINER: David J. Jones

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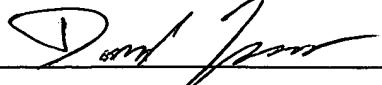
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U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
DML	A54	5,644,768	7/1/97	Periwal, et al.			
	A55	5,652,888	7/29/97	Burgess, et al.			
	A56	5,655,148	8/5/97	Richman, et al.			
	A57	5,659,751	8/19/97	Heninger			
	A58	5,671,225	9/23/97	Hooper, et al.			
	A59	5,675,796	10/7/97	Hodges, et al.			
	A60	5,737,607	4/7/98	Hamilton, et al.			
	A61	5,745,678	4/28/98	Herzberg, et al.			
	A62	5,745,695	4/28/98	Gilchrist, et al.			
	A63	5,745,703	4/28/98	Cejtin, et al.			
	A64	5,745,755	4/28/98	Covey			
	A65	5,748,897	5/5/98	Katiyar			
	A66	5,754,849	5/19/98	Dyer, et al.			
	A67	5,757,925	5/26/98	Faybischenko			
	A68	5,761,656	6/2/98	Ben-Shachar			
	A69	5,764,897	6/9/98	Khalidi			
	A70	5,768,532	6/16/98	Megerian			
	A71	5,774,551	6/30/98	Wu, et al.			
	A72	5,778,228	7/7/98	Wei			
	A73	5,778,368	7/7/98	Hogan, et al.			
	A74	5,787,425	7/28/98	Bigus			
	A75	5,787,431	7/28/98	Shaughnessy			
	A76	5,790,548	8/4/98	Sistanizadeh, et al.			
	A77	5,802,367	9/1/98	Held, et al.			
	A78	5,808,911	9/15/98	Tucker, et al.			
	A79	5,809,507	9/15/98	Cavanaugh, III			
↓	A80	5,813,013	9/22/98	Shakib, et al.			

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U.S. PATENT DOCUMENTS

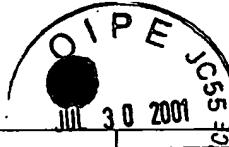
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
DRL	A81	5,815,149	9/29/98	Mutschler, III et al.			
	A82	5,815,709	9/29/98	Waldo, et al.			
	A83	5,815,711	9/29/98	Sakamoto, et al.			
	A84	5,818,448	10/6/98	Katiyar			
	A85	5,829,022	10/27/98	Watanabe, et al.			
	A86	5,832,219	11/3/98	Pettus			
	A87	5,832,529	11/3/98	Wollrath, et al.			
	A88	5,832,593	11/10/98	Wurst, et al.			
	A89	5,835,737	11/10/98	Sand, et al.			
	A90	5,842,018	11/24/98	Atkinson, et al.			
	A91	5,844,553	12/1/98	Hao, et al.			
	A92	5,845,129	12/1/98	Wendorf, et al.			
	A93	5,860,004	1/12/99	Fowlow, et al.			
	A94	5,860,153	1/12/99	Matena, et al.			
	A95	5,864,862	1/26/99	Kriens, et al.			
	A96	5,864,866	1/26/99	Henckel, et al.			
	A97	5,872,928	2/16/99	Lewis, et al.			
	A98	5,872,973	2/16/99	Mitchell, et al.			
	A99	5,875,335	2/23/99	Beard			
	A100	5,878,411	3/2/99	Burroughs, et al.			
	A101	5,884,024	3/16/99	Lin, et al.			
	A102	5,884,079	3/16/99	Furusawa			
	A103	5,887,134	3/23/99	Ebrahim			
	A104	5,890,158	3/30/99	House, et al.			
	A105	5,892,904	4/6/99	Atkinson, et al.			
	A106	5,933,497	8/3/99	Beetcher, et al.			
↓	A107	5,935,249	8/10/99	Stern, et al.			

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			APPLICANT: Slaughter et al.	GROUP: 2152
			FILING DATE: October 19, 2000	

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
DRL	D1	5,778,187	7/7/98	Monteiro, et al.			
DRL	D2	5,832,529	11/3/98	Wollrath, et al.			
DRL	D3	6,016,500	1/18/00	Waldo, et al.			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
DRL	C1	11-45187	10/10/97	JP			
DRL	C2	2 253 079	8/26/92	GB			
DRL	C3	2 262 825	6/30/93	GB			
DRL	C4	2 305 087	3/26/97	GB			
DRL	C5	300 516	1/25/89	EP			
DRL	C6	351 536	1/24/90	EP			
DRL	C7	384 339	8/29/90	EP			
DRL	C8	472 874	3/4/92	EP			
DRL	C9	474 340	3/11/92	EP			
DRL	C10	497 022	8/5/92	EP			
DRL	C11	555 997	8/18/93	EP			
DRL	C12	565 849	10/20/93	EP			
DRL	C13	569 195	11/10/93	EP			
DRL	C14	697 655	2/21/96	EP			
DRL	C15	660 231	6/28/95	EP			
DRL	C16	651 328	5/3/95	EP			
DRL	C17	625 750	11/23/94	EP			
DRL	C18	718 761	6/26/96	EP			
DRL	C19	767 432	4/9/97	EP			
DRL	C20	778 520	6/11/97	EP			
DRL	C21	794 493	9/10/97	EP			

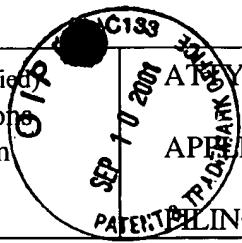
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 List of Patents and Publications
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FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
DRL	C22	803 810	10/29/97	EP			
DRL	C23	803 811	10/29/97	EP			
DRL	C24	805 393	11/5/97	EP			
DRL	C25	810 524	12/3/97	EP			
DRL	C26	817 020	1/7/98	EP			
DRL	C27	817 022	1/7/98	EP			
DRL	C28	817 025	1/7/98	EP			
DRL	C29	836 140	4/15/98	EP			
DRL	C30	92/07335	4/30/92	WO			
DRL	C31	92/09948	6/11/92	WO			
DRL	C32	93/25962	12/23/93	WO			
DRL	C33	94/03855	2/17/94	WO			
DRL	C34	96/10787	4/11/96	WO			
DRL	C35	96/03692	2/8/96	WO			
DRL	C36	96/18947	6/20/96	WO			
DRL	C37	96/24099	8/8/96	WO			
DRL	C38	98/02814	1/22/98	WO			
DRL	C39	98/04971	2/5/98	WO			

1 OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

DRL	C40	Jaworski, "Java 1.1 Developer's Guide, 2 nd Edition," Sams.net, 1997.
DRL	C41	Coulouris, et al., "Distributed Systems Concepts and Designs," Second Edition, Addison-Wesley, 1994.
DRL	C42	Mullender, "Distributed Systems," Second Edition, Addison-Wesley, 1993.
DRL	C43	Lindholm, et al., "The Java™ Virtual Machine Specification," Addison Wesley, 1996.
DRL	D4	"SOAP: Simple Object Access Protocol," msdn online Web Workshop, Microsoft, April 26, 2000, http://msdn.Microsoft.com/xml/general/soapspec.asp , 34 pages.
DRL	D4	Rob Guth, "Sun tries on JacaSpaces for Distributed OS," August 1997, Vol. 19, Issue 34, 2 pages.
DRL	D6	Microsoft, "Microsoft.NET: Realizing the Next Generation Internet," A Microsoft White Paper, June 2000, 8 pages.

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List of Patents and Publications
For Applicant's Information
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DKT. NO. 5181-70100

SERIAL NO. 09/693,682

APPLICANT: Slaughter et al.

GROUP: 2152

FILING DATE: October 19, 2000

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DRL	B1	"Java™ Remote Method Invocation Specification," Sun Microsystems, Inc., <java.sun.com/products/dk1.2beta1>, 1997.
	B2	Agha, et al., "Actorspaces: An Open Distributed Programming Paradigm," University of Illinois, Report No. UIUCDCS-R-92-1766, Open Systems Laboratory TR NO. 8, pp. 1-12, November 1992.
	B3	Ahmed, et al., "A Program Building Tool for Parallel Applications," Yale University, pp. 1-23, December 1, 1993.
	B4	Aldrich, et al., "Providing Easier Access to Remote Objects in Client-Server Systems," System Sciences, 1998, Proceedings of the 31 st Hawaii Internat'l. Conference, Jan. 6-9, 1998, pp. 366-375.
	B5	Aldrich, et al., "Providing Easier Access to Remote Objects in Distributed Systems," Calif. Institute of Technology, www.cs.Caltech.edu/%7Ejedi/paper.html, Nov. 21, 1997.
	B6	Anderson, et al., "Persistent Linda: Linda + Transaction + Query Processing," Proceedings of the 13 th Symposium on Fault Tolerant Systems, pp. 93-109, 1991.
	B7	"Transparent Network Computing," Locus Computing Corporation, January 5, 1995.
	B8	Alexander, et al., "Active Bridging," Proceedings of the ACM/SIGCOMM'97 Conference, Cannes, France, September, 1997.
	B9	Beech, et al., "Object Databases as Generalizations of Relational Databases," Computer Standards & Interfaces, Vol. 13, Nos. 1/3 pp. 221-230, Amsterdam, NL, January 1991.
	B10	Bertino, et al., "Object-Oriented Database Management Systems: Concepts and issues," Computer, Vol. 24, No. 4, pp. 33-47, Los Alamitos, CA, April 1991.
	B11	Betz, et al., "Interoperable Objects: Laying the Foundation for Distributed Object Computing," Dr. Dobb's Journal, Vol. 19, NO. 11, page 18(13), October 1994.
	B12	Bevan, et al., "An Efficient Reference Counting Solution To The Distributed Garbage Collection Problem," Parallel Computing, NL, Elsevier Science Publishers, Amsterdam, Vol. 9, No. 2, pages 179-192, January 1989.
	B13	Birrell, et al., "Distributed Garbage Collection for Network Objects," Digital Systems Research Center, NO. 116, pages 1-18, December 15, 1993.
	B14	Birrell, et al., "Grapevine: An Exercise in Distributed Computing," Communications fo the ACM, Vol. 25, No. 4, pp. 260-274, April 1982.
	B15	Birrell, et al., "Network Objects," DEC SRC Research Report 115, February 28, 1995.
	B16	Birrell, et al., "Implementing Remote Procedure Calls," ACM Transactions on Computer Systems, Vol. 2, No. 1, pp. 39-59, February 1994.
	B17	Birrell, et al., "Network Objects," Operating Systems Review, 27(5), pp. 217-230, December 1993.
	B18	Cannon, et al., "Adding Fault-Tolerant Transaction Processing to LINDA," Software-Practice and Experience, Vol. 24(5), pp. 449-466, May 1994.
	B19	Cardelli, "Obliq, A Lightweight Language For Network Objects," Digital SRC, pp. 1-37, November 5, 1993.
✓	B20	Carrier, et al., "Distributed Data Structures in Linda," Principles of Programming Language, pp. 1-16, 1986.

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List of Patents and Publications

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ATTY. DKT. NO. 5181-70100

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APPLICANT: Slaughter et al.

GROUP: 2152

FILING DATE: October 19, 2000

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<i>DNC</i>	B21	Carrier, et al., "Distributed Data Structures in Linda," Yale Research Report YALEU/DCS/RR-438, November 1985.
	B22	Chung, et al., "A Tiny' Pascal Compiler: Part 1: The P-Code Interpreter," BYTE Publications, Inc., September 1978.
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	B24	Dave, et al., "Proxies, Application Interface, And Distributed Systems," Proceedings International Workshop On Object Orientation In Operating Systems, pages 212-220, September 24, 1992.
	B25	Deux, et al., "The O2 System," Communications Of The Association For Computing Machinery, Col. 34, No. 10, pages 34-48, October 1, 1991.
	B26	Dijkstra, "Self-stabilizing Systems in Spite of Distributed Control," Communications of the ACM, Vol 17, No. 11, pp. 643-644, November 1974.
	B27	Dolev, et al., "On the Minimal Synchronism Needed for Distributed Consensus," Journal of the ACM, Vol. 34, NO. 1, pp. 77-97, January 1987.
	B28	Dollimore, et al., "The design of a System for Distributing Shared Objects," The Computer Journal, No. 6, Cambridge, GB, December 1991.
	B29	Dourish, "A Divergence-Based Model of Synchrony and Distribution in Collaborative Systems," Xerox Technical Report EPC-1194-102, pp. 1-10, 1994.
	B30	Drexier, et al., "Incentive Engineering for Computational Resource Management," The Ecology of Computation, Elsevier Science Publishers B.V., pp. 231-266, 1988.
	B31	Gelernter, et al., "Parallel Programming in Linda," Yale University, pp. 1-21, January 1995.
	B32	Droms, "RFC 1541 Dynamic Host Configuration Protocol," < http://www.cis.ohio-state.edu.htbin/rfc/rfc1541.html >, pages 1-33, October 1993.
	B33	Emms, "A Definition Of AN Access Control Systems Language," Computer Standards And Interfaces, Vol. 6, No. 4, pp. 443-454, January 1, 1997.
	B34	Fleisch, et al., "High Performance Distributed Objects Using Distributed Shared Memory & Remote Method Invocation," System Sciences, 1998, Proceedings of the 31 st Hawaii Internat'l. Conference, Jan. 6-9, 1998, pp. 574-578.
	B35	Gelernter, "Generative Communication in Linda," ACM Transactions on Programming Languages and Systems, Vol. 7, No. 1, pp. 80-112, January 1985.
	B36	Gottlob, et al., "Extending Object-Oriented Systems with Roles," ACM Transactions On Information Systems, Vol. 14, NO. 3, pp. 268-296, July 1996.
	B37	Gray, et al. "Leases: An Efficient Fault-Tolerant Mechanism for Distributed File Cache Consistency," ACM, pp. 202-210, 1989.
	B38	Guth, "JavaOne: Sun to Expand Java Distriubted Computing Effor," < http://www.sunworld.com/swol-02-1998/swol-02-sunspots.html >, XP-002109935, p.1, February 20, 1998.
<i>V</i>	B39	Guyennet, et al., "A New Consistency Protocol Implemented in the CaliF System," IEEE, 1094-7256/97, pp. 82-87, 1997.

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APPLICANT: Slaughter et al.

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GROUP: 21

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FILING DATE: October 19, 2000

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DRC	B40	Guyennet, et al., "Distributed Shared Memory Layer for Cooperative Work Applications," IEEE, 0742-1303/97, pp. 72-78, 1997.
	B41	Hamilton, et al., "Subcontract: A Flexible Base for Distributed Programming," Proceedings of 14 th Symposium of Operating System Principles, December 1993.
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List of Patents and Publications

For Applicant's Information

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ATTY. DKT. NO. 5181-70100

SERIAL NO. 09/693,682

APPLICANT: Slaughter et al.

Disclosure Statement

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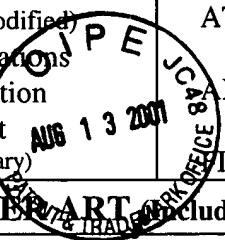
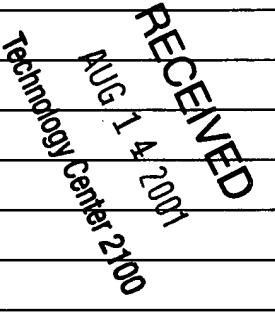
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Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)		ATTY. DKT. NO. 5181-70100 APPLICANT: Slaughter et al. FILING DATE: October 19, 2000	SERIAL NO. 09/693,682 GROUP: 2152
OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.)			
DNC	B80	Kougiouris, et al., "Support for Space Efficient Object Invocation in Spring," September 1994.	
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